

03-07-01

A
Box 1800

03/05/01
J1048 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Larg Entity) <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>	Docket No.: 3253
	Express Mail Label No.: EL333160581US

TO THE ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

STABLE AQUEOUS SOLUTIONS OF GRANULOCYTE MACROPHAGE COLONY-STIMULATING FACTOR

and invented by:

Dean K. Pettit, and Claudia M. Jochheim

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☐ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)

of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract (15 pages total)
3. ☐ Drawing(s); Number of Sheets
4. ☐ Oath or Declaration
 - a. ☐ Newly executed
 - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Paper copy
 - ☐ Pages - of specification
 - ☒ Separately numbered pages 1 of 1
 - b. ☒ Computer Readable Copy
 - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
 - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

U.S. PTO
09/800016
03/05/01

00000015-020504

Acc mpanying Applicati n Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation
(reel _____ frame _____)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☐ Please amend the specification as follows:
- ☐ On page , line , after "This application is a" insert --continuation of application serial No. , filed , currently pending, which is a--.
- ☐ On page , line , insert:

--CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a of application serial No. , filed , currently pending.--

11. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (*At least one original independent claim must be retained for filing purposes.*)
12. ☒ Acknowledgment postcard
13. ☒ Certificate of Mailing by Express Mail (Label No.: EL333160581US)
14. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
15. ☐ Additional Enclosures (*please identify below*):

Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	9	- 20 =	0	x \$18.00	\$00.00
Indep. Claims	2	- 3 =	17	x \$80.00	\$00.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (<i>specify purpose</i>)					\$0.00
TOTAL FILING FEE					\$710.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$710.00 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Diana K. Sheiness

Correspondence address:

Diana K. Sheiness
Registration No. 35,356

Dated: March 5, 2001



22932

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number:

TOTAL AMOUNT OF PAYMENT (\$)	\$916.00
-------------------------------------	-----------------

Application No. not yet assigned
Filing Date herewith
First Named Inventor Lin Ma
Group Art Unit n/a
Examiner Name n/a
Attorney Docket No. 42390.P10624

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[] Applicant claims small entity status. See 37 CFR 1.27

2. X Payment Enclosed: X Check
 Credit Card
 Money Order
 Other

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>			
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>	<u>Fee Paid</u>
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

2. <u>EXTRA CLAIM FEES</u>				Fee from	
		<u>Extra Claims</u>		<u>below</u>	<u>Fee Paid</u>
Total Claims	<u>27</u>	- 20** =	<u>7</u>	X	<u>18.00</u>
Independent Claims	<u>4</u>	- 3** =	<u>1</u>	X	<u>80.00</u>
Multiple Dependent					<u>206.00</u>

****Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 206.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Chun M. Ng

Signature: _____

Date: 3/5/01

Reg. Number: 36,878

Telephone Number: 425-827-8600

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Dean K. Pettit and Claudia M. Jochheim
Docket No.: 3253
Serial No: --to be assigned--
Group Art Unit: Unknown
Filed: March 5, 2001
Examiner: Unknown
For: **STABLE AQUEOUS SOLUTIONS OF GRANULOCYTE
MACROPHAGE COLONY-STIMULATING FACTOR**

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL333160581US

Sir:

I hereby certify that the following correspondence is enclosed and being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard
Request Transmittal (2 pgs + copy)
Specification, claims and abstract (15 pages)
Sequence Listing
Sequence Statement
Diskette

Date: March 5, 2001

Signed: Nanci M. Kertson
Nanci M. Kertson

Immunex Corporation
Law Department
51 University Street
Seattle, Washington 98101
Telephone: (206) 587-0430